

Cancer immunotherapy: an embarrassment of riches?

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There is clear evidence that certain forms of immunotherapy can be successful against certain cancers. However, it would appear that cancerous cells of various origin are exceptionally adept at subverting the immune response. Consequently, it is probable that the most efficacious therapy will be one in which multiple responses of the immune system are activated. There is currently an embarrassment of riches with regard to multiple vaccine strategies in the clinic, although no single method seems to hold the solution. Here, we draw together several of the humoral- and cellular-activating strategies currently under clinical investigation.

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▼ Using the immune system to combat cancer is not a novel concept and can be traced back to the late nineteenth century with Coley's toxins [1]. His use of bacterial products to treat cancers was perhaps the first real attempt to use non-specific immunotherapy. Results from sarcoma patients treated with attenuated strains of *Streptococcus pyogenes* and *Serratia marcescens* indicated that the induction of a strong immune response could be beneficial in combating cancer. The idea did not re-surface until the publication of Burnett's seminal work in the 1950s when he clearly demonstrated that the immune system was indeed capable of mounting an anti-tumour response [2,3]. Burnett suggested that transplantation antigens expressed on tumour cells could send a signal to the immune system leading to the generation of protective immunity. However, this idea also fell by the wayside and has only recently become fashionable again. The general resurgence of immunotherapy has been attributed to the tremendous advances made in the dissection of the precise molecular mechanisms defining antigen presentation and T-cell stimulation.

Immunological response and escape mechanisms

Classically, the immune system is divided into the innate and adaptive responses. The

first line of defence is the innate response, which includes physical barriers, such as the skin, and antigen non-specific cells such as macrophages and natural killer cells. The adaptive response is then further divided into the cellular and humoral responses, generating specific T-cells and antibodies, respectively. Both responses have been used as useful immunotherapies, with antibodies used to find specific tumour markers, and T-cells designed to attack specific cancerous tissue.

The T-cell response, in particular, has been the focus of a significant amount of current research because much of its function has only recently been elucidated. The sequencing and crystallization of the members of the major histocompatibility complex (MHC) has shown that they comprise three separate groups [4]. The MHC I alleles encode molecules that present peptides of 8–9 amino acid in length – derived from endogenous (intracellular) sources – to CD8-restricted T-cells. The MHC II genes encode molecules that present slightly longer peptides of 12–15 amino acids – derived from exogenous (extracellular) sources – to CD4 T-cells. The third group of MHC genes encodes a variety of immunologically active products, including HSP70 and tumour necrosis factor- α .

A recent key finding in immunotherapy has been that the dissociation between MHC I- and MHC II-restricted peptides is not a rigid one. Hence, it is possible to add a protein or peptide exogenously to a cell and still gain CD8 T-cell activation. This is termed 'cross-priming', where peptides can enter the MHC I pathway via the more usual MHC II mechanisms [5]. Indeed, this mechanism is the basis for a several of the immunotherapy strategies discussed later in this review.

An interesting insight into the immune response to cancers has come from the recent

work of Schreiber [6], who showed that interferon- γ receptor knockout mice have an extremely high incidence of spontaneous cancers. Consequently, it was suggested that the immune system does combat cancers, possibly on a daily basis. However, this immunity is clearly not complete, and immune escape can and does occur. It can occur in several ways, including downregulation of MHC [7,8], immune selection of the tumour [6,9], and secretion of inhibitory cytokines, such as TGF β and IL-4 [10,11].

Cancer antigen nomenclature

Cancers are, by definition, 'self' cells that have bypassed normal homeostatic regulation mechanisms. Consequently, it is a challenge for the immune system to differentiate malignant and non-malignant cells. Furthermore, immune tolerance is such that the immune system will not attack a self-molecule, therefore tolerance barriers must be overcome before many therapies will work. Finding a truly novel cancer-specific antigen is highly problematic. Fortunately, there are several markers that can be used to identify and thus attack tumour cells specifically. These can be roughly divided into four major classes.

Tumour-specific antigens

Tumour-specific antigens (TSAs) are a relatively small group of antigens exemplified by the cancer-testis antigens. These genes are silent in normal tissue but are expressed by cancerous cells. They are highly specific markers of disease and include the MAGE (melanoma antigen gene) antigens found in melanoma.

Tumour-associated antigens

Tumour-associated antigens (TAAs) are usually differentiation antigens expressed by normal cells but massively over-expressed in cancerous tissue. Targets initially thought to be specific for a particular cancer are actually quite common in many tumours, such as the gangliosides and mucin antigens. Classical differentiation antigens include MART-1 (melanoma antigen recognized by T cells) [12] and gp 100 [13], both from melanoma.

Mutational antigens

Point mutations are common in many cancers, and often occur in a similar location, such as the common mutation of the P53 oncogene. *In vitro* induction of human cytotoxic T-lymphocyte (CTL) responses against peptides of mutant and wild-type p53 has been reported [14]. In a mouse model, mutant p53-pulsed dendritic cells were able to induce p53 specific CTL and inhibit the growth of established tumours [15].

Viral antigens

Certain viruses are oncogenic and gene products encoded by these viruses can elicit immune responses and thus serve as cancer antigens. An example is the E6 and E7 proteins from human papilloma virus type 16, which have been shown to induce cytotoxic T-lymphocyte responses *in vitro* [16].

Non-specific immunotherapy

In broad terms, it is possible to divide potential immunotherapies into specific and non-specific modalities. However, this is a gross over-simplification because it is probable that both innate and adaptive responses of the immune system function together rather than in isolation. From a historical perspective, however, it is probable that Coley's original work was a non-specific activation of the immune system caused by engaging what Janeway defined as 'pattern recognition' receptors [17]. This theory was later refined into the eponymous 'Danger theory', in which an immune reaction does not occur unless an additional insult occurs by engaging specific receptors, or in trauma [18].

Such non-specific stimuli are now in common use and are usually termed 'adjuvants' as they act primarily as catalysts for the inception of an immune response. It is interesting to note that many adjuvants function as delivery vehicles (e.g. alum), although it is important to stress that this is not the common meaning when discussing immunotherapies, despite the uses of stimulator and delivery system often being used interchangeably. One example where adjuvant alone has shown success is in bladder cancer, where BCG (Bacillus Calmette Guerin) is commonly used as a therapy [19].

The search for novel adjuvants has become a 'Holy Grail' for immunologists. In particular demand are compounds that can alter the balance of the immune system between T_H1 and T_H2 cytokine responses. Conventional wisdom dictates that a T_H1 type response, as exemplified by the production of IFN- γ , IL-2 and IL-12, are more beneficial in immunotherapy because they promote cytotoxic immunity, rather than T_H2 type responses, such as the production of IL-4, IL-5, IL-6 and IL-10, which tend to promote antibody production. Indeed, the use of cytokines themselves falls into the category of non-specific immunotherapy. The use of low-dose IL-2, either in isolation or in combination with other cytokines (most notably the interferons), is an established therapy throughout Europe [20–22]. Similarly, granulocyte-macrophage colony-stimulating factor (GM-CSF) has become the cytokine of choice owing to its dendritic-cell-maturing properties [23,24].

Table 1. Methods of loading antigen into dendritic cells

DC antigen	Clinical data
Protein	In the form of CEA, led to infiltration of the injection site [50]. Similarly, Provenge is a fusion protein of PAP and GM-CSF. When loaded into DC 38% of patients developed an anti-PAP response and demonstrated a drop in PSA [51].
Peptide	DC loaded with MART1 peptide led to complete melanoma remission in 1 in 16 trial patients, with partial remissions in 2 in 16 [52]. Peptide vaccination led to the generation of CEA specific responses and regression in 2 in 12 patients [53,54].
Lysate	A combination of a peptide cocktail or tumour lysate loaded DC led to 5 in 16 responses in a melanoma trial [55]. Similarly, Chang [56] showed the generation of IFN γ secreting cells after lysate stimulation.

Abbreviations: CEA, carcinoembryonic antigen; DC, dendritic cells; GM-CSF, granulocyte-macrophage colony-stimulating factor; IFN, interferon; MART1, melanoma antigen recognized by T cells; PAP, prostatic acid phosphatase; PSA, prostate specific antigen.

Specific immunotherapy

The myriad of current immunotherapies in the clinic can be divided into several categories.

Nucleic acid vaccines

In their purest form, nucleic acid vaccines are simply 'naked DNA', usually injected into the muscle (reviewed in [25]). Clinical trials have begun in melanoma [26] and prostate [27]. Both trials are in the early stages and no efficacy data exist, although Phase I safety has been proven. A refinement of this technology has been to transfect autologous dendritic cells with tumour-derived RNA. This approach has been used in colon cancer with the notable detection of antigen-specific T-cell responses capable of lysing primary tumour targets [28]. Although no clinical response was observed in prostate cancer, dendritic cells loaded with prostate specific antigen (PSA)-RNA have been shown to generate a response to PSA, and have led to an alteration in the rate of its release in six out of seven patients [29].

Recombinant protein vaccines

As described earlier, several TAAs and TSAs have been identified. It is therefore logical to examine their efficacy as vaccines. This approach is attractive because it enables the patient's own immune system to cleave and bind peptides, thus making it amenable to all tissue types. The only restriction of such therapies lies in the relative shortage of potential specific antigens. Clinical trials in colorectal cancer, using carcino-embryonic antigen (CEA) and GM-CSF as an adjuvant, showed promising responses, with both an antibody and cellular response to CEA detected in eight out of nine individuals [30].

Peptide vaccines

Peptide vaccines are attractive from a development perspective because they are relatively simple to produce to

Good Manufacturing Practice. However, they have the disadvantage that they are human leukocyte antigen-specific and, consequently, will only function on a limited subset of patients. In addition, they have been unsuccessful when used on their own. Second-generation products use a combination of CD8 and CD4 epitopes as well as multiple antigens. The principle of peptide vaccination appears to have been validated by Jaeger [31], who showed that melanoma, tyrosinase and flu peptide administration led to the development of specific CTL. However, no tumour regression was observed, although disease stabilization was claimed in two out of 10 individuals. A follow-up study using peptide with GM-CSF was much more encouraging and demonstrated tumour regression in all patients [23]. When NY-ESO-1-derived peptides were administered, it was interesting that only antibody-negative patients developed specific CTLs, suggesting that humoral immunity might play a role in defining the efficacy of this approach, possibly owing to the removal of antigen by antibody [32]. Similar data using different adjuvants such as IL-2 [33] or IL-12 [34] suggest that peptide vaccines can generate significant responses when used with a strong immune-stimulating agent.

Dendritic cells

Dendritic cells are the single most potent immune-stimulating cell and are therefore a logical candidate for vaccination [24]. They have been used in several different ways as they have a unique ability to present antigens in several forms with great efficiency (see Table 1). However, it should be noted that dendritic cells can also generate profound tolerance and must be used carefully [35]. One major problem with this approach lies in the ability to store enough cells to make an effective vaccine, although a significant amount of work has been done in the development of freezing protocols [36,37].

Table 2. Viruses commonly used in immunotherapy

Virus	Description	Clinical data
Poxviridae (e.g. <i>variola</i> , <i>vaccinia</i> , <i>avipox</i>)	Linear double stranded DNA Enveloped 130–375 kilonucleotides	<i>Vaccinia</i> encoding CEA leads to a strong antibody response [57] A replication incompetent <i>avipox</i> encoding CEA lead to an increase in CTL precursors [58] <i>Vaccinia</i> or <i>avipox</i> CEA transfectants increase IFN γ secreting cell numbers and this is enhanced by GM-CSF and IL2 [59] CEA and B7.1 both transfected into <i>avipox</i> led to leukocyte infiltration and some disease stabilization [60,61]
Adenoviridae (e.g. <i>adenovirus</i>)	Linear double stranded DNA Non-enveloped 30–42 kilonucleotides	Oncolytic viruses work best in combination with chemotherapeutic agents [62,63] An <i>adenovirus</i> transfected with PSA led to a drop in PSA levels at high dose of virus [64]
Herpesviridae (e.g. <i>simplex</i> , <i>cytomegalovirus</i> , <i>EHV</i>)	Linear double stranded DNA Enveloped 120–220 kilonucleotides	Disabled Infectious Single Cycle (DISC) <i>herpesvirus</i> [65]

Abbreviations: CEA, carcinoembryonic antigen; CTL, cytotoxic T-lymphocyte; GM-CSF, granulocyte-macrophage colony-stimulating factor; IFN, interferon; IL, interleukin; PSA, prostate specific antigen.

Antibodies

The conventional use of antibodies is to bind a target antigen and thus either lyse or opsonize the cell. By using antibodies directed against TAA or TSA to target the tumour, it is possible to use the complement lytic mechanism of the immune system to attack the target [38]. Examples include the humanized antibodies Rituxan [39], which is specific for CD20, and Herceptin [40], which binds to Her2/neu-expressing tumours. This is essentially the logic behind the ‘magic bullet’ hypothesis, in which a tumour-specific antibody is conjugated to a drug, prodrug, enzyme or even radionuclide, and then activated at the site of disease. This approach has recently demonstrated promise using CEA-expressing tumours and the prodrug ZD2767p [41].

Anti-idiotypic antibodies

From conventional network theory, it follows that to any specific antibody, a mirror-image antibody will be generated. Consequently, this anti-idiotypic will spatially resemble the original target antigen and can thus be used as a surrogate vaccine in place of the original target protein [42]. This has several advantages because many antigens are difficult to clone, particularly those that are heavily glycosylated, and because anti-idiotypic antibodies are particularly effective in breaking immune tolerance. Clinical data using an anti-idiotypic vaccine for CD55 have shown elevated T-cell responses and increased natural killer cell activity [43,44].

Viral targeting

Viral targeting uses a recombinant virus – usually replication incompetent – to destroy a tumour directly. In practice, at least one round of replication occurs before the virus is incapacitated. Hence, the tumour is lysed, which often leads to systemic immunization with resulting protection. Common viruses in clinical use are summarized in Table 2. This approach has been refined further using genetic modification to enhance the immune response. For example, the genetic insertion of a human GM-CSF gene into a herpes simplex virus type 2 vector has been used improve the efficacy of the vaccine [45].

Whole-cell vaccines

Returning to classical vaccinology, as a tumour is the target of immune attack, it follows that it must contain several immunogenic moieties. Therefore, a vaccine made from a tumour could prove effective because it contains all possible cancer antigens. Perhaps the simplest approach is to use a syngeneic method, in which the patient’s own tumour is removed, cultured, inactivated and then injected back into the patient [46]. Although an attractive methodology, this syngeneic approach has several constraints, including the time it takes to perform the manipulations, and that it is only possible when a tumour is palpable, that is, when the cancer is advanced. A similar method can be used with allogeneic tumour cells and this clearly overcomes any problems regarding tissue source. However, the mechanism-of-action is likely to be quite different and

probably relies on the sharing of antigens between tumour types as many of the oncogenic variations seen in cancer are relatively conserved. The seminal work in this field was carried out by Morton *et al.* who observed regression of melanoma (nine out of 136 patients) following allogeneic whole-cell vaccination [47]. This has recently been tested in a Phase I trial for prostate cancer [48], yielding an excellent safety profile, and is currently under Phase II investigation in our own laboratories. A further refinement is the use of transfected whole-cells, in which immune-stimulating molecules, such as cytokines, are added to improve the efficacy of the vaccine [49]. This has been used most successfully in the syngeneic system, although preclinical trials in our laboratory suggest that it might not be appropriate for the allogeneic system.

Conclusions and future perspectives

Recent data suggest that the field of immunotherapy is a burgeoning one, with many quite different strategies in the clinic. It is probable that multiple immune-stimulating strategies will be necessary to avoid subversion of the immune response by the cancer. This might be achieved either by combining therapeutic strategies (e.g. proteins and DNA vaccines), or by using a vaccine capable of multiple stimulations (e.g. whole-cell vaccines). It is clear that simple monovalent approaches, such as peptide vaccination, can activate the immune system, but these are unlikely to be a complete therapy in isolation. Consequently, we envisage a future in which many of these therapies coalesce into a unified strategy where multiple responses of the immune system are stimulated sequentially or concurrently.

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